

**Final exam program**  
**«International Finance Law»**  
**for 4<sup>th</sup> year bachelor students**  
**FIR, Department of International Law**  
**Lecturer – Oтынshiyeva A.A.**

**FINAL EXAM**

Form – Written on Oqylyq platform  
The exam is taken by the platform itself.  
Tickets are issued to students through the oqylyq platform.

**Rules.**

**1. WRITTEN EXAM:**

**TRADITIONAL – ANSWERS TO QUESTIONS.**

Held on an external resource of the Oqylyq SDO. The exam format is synchronous.

The process of passing a written exam by a student involves the automatic creation of an exam ticket for the student, to which it is necessary to form a written answer by directly entering the text into the system.

**IMPORTANT**-the exam is held according to a schedule that should be known in advance to students and teachers. This is the responsibility of departments and faculty.

The duration of the exam is exactly 2 hours.

**ATTENTION.** For the faculty of natural-science direction and Business it is possible to increase the duration of the exam will be 1 hour upon the submission in the name of the Dean of academic Affairs with justification for increasing the duration of the exam (complex input formulae, etc.)

4.5 Set date and start and end time of the exam.

5. Click "Save". After clicking the "Save" button, all data will be saved in the database.

**STUDENT**

1. at the specified time, the student enters the site "app.oqylyk.kz".

2. the student receives a Username and password in the UNIVER IP.

3. ticket Generation is performed automatically for each student.

4. the exam Begins with mandatory proctoring: you need a laptop or home computer with a webcam. If it is not available, you can use the smartphone camera.

5. at the end of the exam, the student clicks the "Finish" button.

**Evaluation policy.** Final control (exam) – 100 points. Assessment is carried out according to the following scheme:

Question 1 – 33 points

Question 2– 33 points

Question 3 - 34 points.

**Schedule of the exam.**

The exam is conducted according to the approved schedule, which will be notified to students.

Points are put in the final statement in the UNIVER is. The time for putting points in the certification sheet for the oral exam is 48 hours.

**List of course topics for which exam questions are compiled:**

1. Introduction to financial law
2. Creating risk - Raising capital
3. provisions on Loans and interest.

4. Creating risk II and risk transfer
5. the Issuance of Shares
6. Issuance of International Bonds (Eurobonds)
7. Risk mitigation I – Security interests
8. Security interests: pledge, mortgage, fix and floating charge
9. Risk mitigation II – Financial collateral
10. the Substitution, margining, right of use
11. Risk mitigation III – Personal surety, derivatives
12. Guarantee and indemnity
13. Risk mitigation IV – Set off, netting and clearing
14. monetary operations, derivatives, repos, securities lending
15. Securitisation and Asset-backed securities
16. the role of rating agencies and investment banks
17. Insolvency of multinational financial institutions
18. Internationalisation of the Market
19. conflict of laws: Lex rei sitae, lex societatis, lex situs, lex contractus
20. Transfer of financial instruments
21. Stock exchanges – trading of securities
22. The regulatory side of the financial market
23. Financial sector insolvency – a special area of law
24. tension between contract law and insolvency law
25. The Financial Crisis and financial law
26. International holding and transfer of securities
27. The Financial Crisis and financial law
28. Bank resolution and financial law (bail-in, asset transfer and moratorium)
29. Transfer of financial instruments
30. Internationalisation of the Market
31. main principles, key concepts of cross-border financial services, branches and subsidiaries
32. Choice of law and the global influence of English law to international finance law

### References

1. P. Wood, Law and Practice of International Finance, Sweet & Maxwell, 2008. This book is the first building block of the Basic Reading
2. Market aspects: S. Valdez, Ph. Molyneux, An Introduction to Global Financial Markets, 8th ed. (7th and 6th can also be used, but not older), Palgrave-McMillan 2015